

Use this form to transfer units in any GDI unlisted trust.

Instructions

Please use BLOCK letters and a dark pen.

Use this form to transfer a unit in one of GDI's unlisted trusts:

- the transferee and the transferor must complete and sign this **Unit Transfer Form**
- the transferee must tell us their details by sending us the following:
- For individuals, beneficiaries and individual trustees: an updated Adviser Certificate or Accountant Certificate, and a certified copy of ONE of the following:
 - Australian drivers licence
 - Australian passport
 - foreign passport
 - proof of age card
 - national identity card
- For Body Corporate or Partnership:
 - Current ASIC Company Extract
 - Partnership Agreement or Company Constitution
- For Trust:
 - Trust Deed

We will contact the transferor or the transferee as relevant if something is missing.

We will contact the transferor and the transferee when the transfer is complete.

Note that we can refuse transfers and need not give reasons.

About the units

Unit Holder Number

The unit holder number (the unit holder number is found on your latest distribution statement)

Which trust?

The units relate to this GDI trust

How many?

The number of units being transferred is

How much?

The consideration for the transfer of the units is \$

When

The date of the transfer agreement

Note that the transferee is not considered the holder of the units until we register them as such

Why

The reason the units are being transferred
(ie a death, a court order or agreement,
change of trustee, other reasons).

Signatures

Read this before you sign

By signing this form:

- the person signing is telling us that they are authorised to sign this form
- the transferor is requesting us to register the transfer of units
- the transferor is telling us that the units are able to be transferred free of encumbrance
- the transferee is telling us that they agree to be bound by the trust deed for the trust as amended from time to time and by all matters set out in the Information Memorandum as supplemented from time to time; and
- the transferee is telling us that they are **a wholesale client** as the Corporations Act defines this.

About the transferor

(The transferor's investing entity details i.e. the entity currently holding the units)

Individuals holding units

(complete this section if you hold your units as an individual or joint individuals)

Title Mr/Mrs/Ms/Miss

Given name

Surname

Tax File No.

Signature

Date

Title Mr/Mrs/Ms/Miss

Given name

Surname

Tax File No.

Signature

Date

Trustees and superfunds

(complete this section if the investing entity holding units is a trust or superfund)

Name of trustee

name all trustees or the company name as relevant

Name of trust or superfund

include the trust's ARSN if it is a registered managed investment scheme

TFN (Tax File Number)

of the trust/superfund

Name Director/Trustee

Signature Director/Trustee

Date

Name of Director/Trustee

Signature of Director/Trustee

Date

Companies

(complete this section if the investing entity holding units is a company)

Name

TFN (Tax File Number)

of company

Name of Director

Signature of Director

Date

Name of Director/Secretary

Signature of Director/Secretary

Date

About the transferee

(investing entity receiving the units)

Individual/s investing

(complete this section if you are investing as an individual or joint individuals)

Title Mr/Mrs/Ms/Miss

Given name

Surname

Date of Birth

Tax File No

Signature

Title Mr/Mrs/Ms/Miss

Given name

Surname

Date of Birth

Tax File No

Signature

Trustees and superfunds

(complete this section if the investing entity holding units is a trust or superfund)

Name of trustee

name all trustees or the company name as relevant

Name of trust or superfund

include the trust's ARSN if it is a registered managed investment scheme

TFN (Tax File Number)

of the trust/superfund

Name of Director/Trustee

Signature of Director/Trustee

Date

Name of Director/Trustee

Signature of Director/Trustee

Date

Unit Transfer Form



Companies

(complete this section if the investing entity holding units is a company)

Name

TFN (Tax File Number)

of company

Name of Director

Signature of Director

Date

Name of Director/Secretary

Signature of Director/Secretary

Date

Banking detail advice

Please complete this form in black pen using capital letters. Insert details of the Australian Financial Institution, Branch and Account into which you wish your payments made.

Name(s) in which your account is held

BSB Number

Account Number

Name of Financial Institution

Branch Suburb/Town

Signature(s) of Unit Holders

This must be completed

Unit holder 1 (individual)

Date

Joint Unit holder 2 (individual)

Date

This form should be signed by the Unit Holder. If a joint holding, all Unit Holders should sign. If signed by the Unit holder's attorney, the power of attorney must have been previously noted by the registry or a certified copy attached to this form. If executed by a company, the form must be executed in accordance with the Unit holder's constitution and the Corporations Act 2001 (Cwlth).

Your contact details

Address

Individuals: write your residential address
Trustees should provide the registered office of the trustee
Companies: write your registered office
PO Boxes are not acceptable.

Street number		Street name		
Suburb				
State		Postcode		Country
Work hours phone			After hours phone	
Mobile			Fax	
Contact person				

Correspondence

You can elect to receive all correspondence from us via email by providing your email address below:

Primary email address

Secondary email address (optional)

☐ Tick this box if you don't have an email address or want to continue to receive physical copies of all correspondence by filling out your mailing address details below (if different from that previously stated).

Mailing address details

Street number		Street name		
Suburb				
State		Postcode		Country
Contact person				

Wholesale client?

The transferee is a wholesale client ☐ Yes ☐ No

If the transferee needs an Accountant's Certificate or an Adviser's Certificate, they are available at www.gdi.com.au or call us.

Existing GDI client?

The transferee is an existing GDI investor ☐ Yes ☐ No

COMMON REPORTING STANDARD (CRS) – SELF-CERTIFICATION FORM

This certification must be completed by all investors to declare their CRS status. Please refer to section VI for explanations of terms before completing this form. Neither the Trustee of the Fund or GDI Property Group ("GDI") is able to provide you with tax or professional advice in respect of CRS and we strongly encourage you to seek the advice of an experienced tax or professional adviser in relation to completing this form.

I. Individual & Joint Investors

Individual 1

Title *Mr/Mrs/Ms/Miss*

Given name

Surname

Residential Address

Date of Birth

Individual 2

Title *Mr/Mrs/Ms/Miss*

Given name

Surname

Residential Address

Date of Birth

1. Are you a tax resident of any other country outside Australia?

No ☐ Skip to section IV

Yes ☐ *Provide details below and skip to section IV. If resident in more than one jurisdiction please include details for all jurisdictions below (if more than 1 jurisdiction please provide them on a separate piece of paper):*

Individual 1

Title *Mr/Mrs/Ms/Miss*

Given name

Surname

Country of Tax Residence

Tax Identification Number (TIN) or equivalent

Reason if no TIN provided

Individual 2

Title *Mr/Mrs/Ms/Miss*

Given name

Surname

Country of Tax Residence

Tax Identification Number (TIN) or equivalent

Reason if no TIN provided

If TIN or equivalent is not provided, please select reason from the following options:

- ☐ **Reason A:** The country/jurisdiction where the entity is resident does not issue TINs to its residents
- ☐ **Reason B:** The entity is otherwise unable to obtain a TIN or equivalent number (**Please explain why the entity is unable to obtain a TIN in the space provided above, if you have selected this reason**)
- ☐ **Reason C:** No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

II. Superannuation Funds

Superfund name

2. Are you an Australian Retirement Fund? (refer to definitions in section VI, but this includes Australian Self-Managed Super Funds).

Yes ☐ *Skip to section IV*

No ☐ *Continue to section III*

III. Entities (Company, Trust, Partnership, Association etc.)

Entity name

3. Are you a tax resident of any other country outside Australia?

Yes ☐ *Provide details below and continue to question 4. If resident in more than one jurisdiction please include details for all jurisdictions below (if more than 1 jurisdiction please provide them on a separate piece of paper)*

No ☐ *Continue to question 4*

Registered Address

Country of Tax Residence

Tax Identification Number (TIN) or equivalent

Reason if no TIN provided

If TIN or equivalent is not provided, please select reason from the following options:

- ☐ **Reason A:** The country/jurisdiction where the entity is resident does not issue TINs to its residents
- ☐ **Reason B:** The entity is otherwise unable to obtain a TIN or equivalent number (**Please explain why the entity is unable to obtain a TIN in the space provided above, if you have selected this reason**)
- ☐ **Reason C:** No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

4. Are you a Financial Institution for the purposes of CRS?

Yes ☐ *Continue to question 5*

No ☐ *Skip to question 6*

5. Are you an Investment Entity (Financial Institution) located in a Non-Participating Jurisdiction for CRS purposes and managed by another Financial Institution?

Yes ☐ *Continue to question 7*

No ☐ *Skip to section IV*

6. Are you an Active Non-Financial Entity (Active NFE)?

Yes ☐ Specify the type of Active NFE below and then skip to section IV

- ☐ Less than 50% of the Active NFE's gross income from the preceding calendar year is passive income and less than 50% of its assets during the preceding calendar year are the assets held for the production of passive income
- ☐ Corporation that is regularly traded or a related entity of a regularly traded corporation
- ☐ Government Entity, International Organisation or Central Bank

No ☐ You are a Passive Non-Financial Entity (Passive NFE). Continue to question 7

7. Controlling Persons – Does one or more of the following apply to you:

- » Is any natural person that exercises control over you (for corporations, this would include directors or beneficial owners who ultimately own 25% or more of the share capital) a tax resident of any country outside of Australia?
- » If you are a trust, is any natural person including trustee, protector, beneficiary, settlor or any other natural person exercising ultimate effective control over the trust a tax resident of any country outside Australia?

Yes ☐ Complete details below for these persons and continue to section IV

Title Mr/Mrs/Ms/Miss	Given name	
Surname		
Date of Birth		
Residential Address		
State	Postcode	Country
Country of Tax Residence		
Tax Identification Number (TIN) or equivalent		
Reason if no TIN provided		

If there is more than 1 controlling person, please list them on a separate piece of paper

If TIN or equivalent is not provided, please select reason from the following options:

- ☐ Reason A: The country/jurisdiction where the entity is resident does not issue TINs to its residents
- ☐ Reason B: The entity is otherwise unable to obtain a TIN or equivalent number (**Please explain why the entity is unable to obtain a TIN in the space provided above, if you have selected this reason**)
- ☐ Reason C: No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

No ☐ Continue to section IV

IV. Declaration

By completing and signing this form

- I/we declare that the information provided in this form is correct and where relevant reflects my/our tax status for purposes of CRS
- I/we will promptly notify the GDI and provide it with any changes to the information provided by me/us in connection with this form and on request provide any further information reasonably required by GDI to comply with any obligation under CRS
- I/we acknowledge that any personal information I/we provide to GDI will be collected and handled in accordance with the GDI Property Group Privacy Policy, a copy of which can be found at: www.gdi.com.au. By submitting this form or any other paperwork relating to my/our investment I/we consent to my/our personal information being collected and handled by GDI in accordance with that policy.

Signature

Print name

Title (select one)

- ☐ Individual
 ☐ Sole Director
 ☐ Director
 ☐ Trustee
 ☐ Partner
 ☐ Other

Date

 / /

Signature

Print name

Title (select one)

- ☐ Individual
 ☐ Sole Director
 ☐ Director
 ☐ Trustee
 ☐ Partner
 ☐ Other

Date

 / /

Please note it's up to the investor to ensure GDI Property Group has been notified of authorised signatories on this account. Where we cannot match the signature to the initial application form or signatory list provided there may be delays in processing this form.

V. Submitting this form

By completing and signing this form

1. Scan and email to: info@gdi.com.au, or
2. Post to: GDI Property Group, Attention: Unit Registry, PO BOX R1845, ROYAL EXCHANGE NSW 1225

VI. Explanations

Common Terms

Active Non-Financial Entity (Active NFE)

The term Active NFE means any NFE that meets any of the following criteria:

- less than 50 per cent of its gross income and assets are or produce Passive Income; or
- it is a Governmental Entity, an International Organisation, a Central Bank or an entity wholly owned by one or more of the foregoing; or
- it is a holding NFE that is a member of a nonfinancial group; or
- it is a start-up NFE; or
- it is an NFE that is liquidating or emerging from bankruptcy; or
- it is a Treasury centre that is a member of a nonfinancial group; or
- it is a non-profit NFE that meets all of the following requirements:
 - » it is established and operated exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes;
 - » it is exempt from income tax in its country of residence;
 - » it has no members who have a proprietary or beneficial interest in its income or assets;
 - » income and assets must not be distributed to a private person or non-charitable entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property purchased by the NFE; and
 - » upon the NFE's dissolution, all of its assets must be distributed to a Governmental Entity or other non-profit organisation.

Australian Retirement Fund

1. Any plan, scheme, fund, trust, or other arrangement operated principally to administer or provide pension, retirement, superannuation, or death benefits that is a superannuation entity or public sector superannuation scheme (including an exempt public sector superannuation scheme) as defined in the Superannuation Industry (Supervision) Act 1993, or a constitutionally protected fund as defined in the Income Tax Assessment Act 1997.
2. A pooled superannuation trust as defined in the Income Tax Assessment Act 1997.
3. Any Entity that is wholly owned by, and conducts investment activities, accepts deposits from, or holds financial assets exclusively for or on behalf of, one or more plans, schemes, funds, trusts, or other arrangements referred to in subparagraphs (1) or (2) of this paragraph.

Financial Institution

A Financial Institution includes:

- a. Depository institution – entity that accepts deposits in the ordinary course of banking or similar business (banks, credit unions), or
- b. Custodial institution – entity that holds financial assets for the account of others as a substantial portion of its business (brokers, custodians), or
- c. Investments entity – means any entity that conducts as a business (or is managed by an entity that conducts as a business) one or more of the following activities or operations for or on behalf of a customer:
 - » it is established and operated exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes;
 - » it is exempt from income tax in its country of residence;
- d. Specified Insurance Company

Investment Entity

Includes two types of entities:

1. an entity that primarily conducts as a business trading, investing, administering or managing financial assets or money on behalf of a customer.
2. an entity the gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets where the entity is managed by another entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity.

An entity will be treated as primarily conducting a business or an entity's gross income will be primarily attributable, if the entity's gross income attributable to the relevant activities equals or exceeds 50 per cent of the entity's gross income.

Taxpayer Identification Number (TIN)

A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an entity and used to identify the individual or entity for the purpose of administering the tax laws of such jurisdiction.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification. Examples of that type of number include a business/company registration number.

Further information about CRS can be found at:

<http://www.oecd.org/tax/automatic-exchange/international-framework-for-the-crs/>

<http://www.oecd.org/tax/automatic-exchange/international-framework-for-the-crs/MCAA-Signatories.pdf>